



## **Glover Park Wealth Management, LLC Solicitor Disclosure Statement**

Respond.com, Inc. d/b/a WiserAdvisor.com ('Solicitor') and Glover Park Wealth Management, LLC ('Glover Park Wealth'), a Registered Investment Adviser (RIA), have entered into an agreement pursuant to which the third-party Solicitor has agreed to consider prospective clients for whom the investment advisory services of Glover Park Wealth would be suitable and to refer them to Investment Adviser Representatives ('IARs') of Glover Park Wealth Management, LLC.

Solicitor is not authorized to provide investment advice to any client with respect to the advisory services referred to and offered by IARs or Glover Park Wealth and may not provide investment advice on behalf of IARs or Glover Park Wealth with respect to the advisory services referred to and offered by Glover Park Wealth. Solicitor is not an agent of Glover Park Wealth Management, LLC.

The Solicitor maintains or will obtain any SEC and state registrations that may be appropriate or required in connection with the solicitation services provided under agreement or has been advised by counsel that it is exempt or excluded from registration.

Glover Park Wealth has compensated the Solicitor for these activities by paying to Solicitor a referral fee ('Referral Fee') as described below:

Glover Park Wealth has compensated Solicitor in the amount in the range of \$1,500 - \$2,000 per year for referring prospective clients to Glover Park Wealth Management, LLC. Additionally, Glover Park Wealth compensates the Solicitor for each client referral as follows:

<b><u>Client Portfolio Size</u></b>	<b><u>Referral Fee</u></b>
\$50,000 or less	\$40
\$100,000 – \$250,000	\$100
\$250,000 - \$500,000	\$110
\$500,000 - \$1,000,000	\$150
\$1,000,000 - \$3,000,000	\$200
Over \$3,000,000	\$250

Neither IARs nor Glover Park Wealth will charge a client referred to IARs and/or Glover Park Wealth by Solicitor any amount for the cost of obtaining the client that is in addition to the fee normally charged by IARs and Glover Park Wealth for investment advisory services. Under the client's investment advisory relationship with IARs and Glover Park Wealth, all fees and other payments should be made payable directly to Glover Park Wealth Management, LLC the client account and/or a check or direct transfer as disclosed in the signed investment advisory contract with the client and Glover Park Wealth Management, LLC. Neither Solicitor or IARs may accept cash, checks, or any other instrument payable to Solicitor and/or IARs relative to any investment advisory services offered to the client by IARs or Glover Park Wealth Management, LLC.



## Client Acknowledgment:

I hereby represent and acknowledge that in compliance with Rule 206(4)-3 of the Investment Advisers Act of 1940 and the rules and regulations thereunder, as amended, I have received the following materials from Solicitor:

- A) One (1) copy of Part 2A of Form ADV (or "Brochure") for Glover Park Wealth Management, LLC.
- B) One (1) copy of the Solicitor Disclosure Statement, as set forth above, concerning the agreement between Glover Park Wealth and Solicitor relating to (i) Solicitor's referral of clients to Glover Park Wealth for investment advisory services; and (ii) the compensation received by Solicitor from IARs

I hereby acknowledge that Solicitor has not provided investment advice to me with respect to the advisory services referred to and offered by IARs or Glover Park Wealth Management, LLC.

I acknowledge that I have not delivered, and will not deliver, fees or make other payments to Solicitor or IARs relative to the investment advisory services offered or to be offered to me by IARs or Glover Park Wealth Management, LLC.

Client Name: \_\_\_\_\_

Client Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Advisor: Glover Park Wealth Management, LLC**

Name: Michael T. Jobe

Signature: 

Title: Principal & CCO

Date: \_\_\_\_\_